

FILED

JUL 11 2024

CLERK, U.S. DISTRICT COURT
WESTERN DISTRICT OF TEXAS
BY *[Signature]*

DEPUTY CLERK

MARK ANTHONY ORTEGA

Plaintiff,

v.

DH & RK INVESTMENTS LLC D/B/A
FUNDQUBE,

Defendant.

Case No. **SA24CA0769XR**

PLAINTIFF'S ORIGINAL
COMPLAINT FOR VIOLATIONS OF
THE TELEPHONE CONSUMER
PROTECTION ACT AND TEXAS
BUSINESS AND COMMERCIAL CODE

DEMAND FOR JURY TRIAL

NATURE OF THE CASE

1. This action seeks to remedy the unlawful and intrusive telemarketing practices of Defendant, DH & RK Investments LLC D/B/A FundQube ("Defendant" or "FundQube"), who have violated the Telephone Consumer Protection Act, 47 U.S.C. § 227, et seq. ("TCPA"), and the Texas Business and Commercial Code, Chapter 302 ("Tex. Bus. & Com. Code"), by initiating unwanted telemarketing calls to Plaintiff Mark Anthony Ortega ("Plaintiff" or "Ortega") without his consent and in disregard of his status on the National Do Not Call Registry and requests to stop, causing him frustration, annoyance, and a sense of privacy invasion.

BACKGROUND ON THE TCPA

2. Enacted in 1991 with bipartisan support, the TCPA aims to protect consumers from the nuisance and invasion of privacy caused by unsolicited telemarketing calls, reflecting Congress's recognition of such calls as a "scourge of modern civilization." See 137 Cong. Rec. 30821 (1991).

3. Decades later, the TCPA remains a crucial safeguard against the ever-increasing volume of unwanted calls, which consistently rank among the top consumer complaints. See *Omnibus TCPA Order*, 30 FCC Rcd. 7961, 7964 (F.C.C. July 10, 2015).

4. The private right of action provided by the TCPA is essential to curbing these violations.

JURISDICTION AND VENUE

5. This Court has subject matter jurisdiction over the claims asserted herein pursuant to 28 U.S.C. § 1331, which grants this court original jurisdiction of all civil actions arising under the laws of the United States. See Mims v. Arrow Fin. Servs., LLC, 565 U.S. 368 (2012) (confirming that 28 U.S.C. § 1331 grants the United States district courts federal-question subject-matter jurisdiction to hear private civil suits under the TCPA). As this action involves alleged violations of the TCPA, a federal question is presented, thus conferring jurisdiction upon this Court.

6. Additionally, this Court has supplemental jurisdiction over the claims arising under Tex. Bus. & Com. Code § 302. While the Texas Business and Commercial Code, Chapter 302, is a state law, it complements and supplements the federal TCPA by providing additional protections to Texas residents against unwanted telemarketing calls. Under 28 U.S.C. § 1337, federal courts may exercise supplemental jurisdiction over state law claims that are so related to claims within the court's original jurisdiction that they form part of the same case or controversy.

7. In this instance, the claims under the Tex. Bus. & Com. Code § 302, are intertwined with the TCPA claims, as they both arise from the same set of facts involving the Defendant's alleged telemarketing calls to Plaintiff. The legal issues and factual questions involved in both sets of claims are closely related, and it would be efficient and convenient for the Court to address them

together in a single proceeding. Therefore, the Court's supplemental jurisdiction is appropriate to encompass the claims arising under Tex. Bus. & Com. Code § 302.

8. This Court has personal jurisdiction over Defendant as they conducted business within this district and purposefully availed themselves of the privilege of conducting activities within the State of Texas.

9. Venue is proper in this judicial district pursuant to 28 U.S.C. § 13391(b)(2) as a substantial part of the events giving rise to this action occurred within this district.

PARTIES

10. Plaintiff Mark Anthony Ortega is a natural person who resided in San Antonio, Bexar County, Texas at all times relevant hereto.

11. Plaintiff is a "person" as that term is defined by 47 U.S.C. § 153(39).

12. Defendant DH & RK Investments LLC d/b/a FundQube is a Florida Corporation with its principal place of business in Los Angeles, CA.

13. Defendant is a "person" as that term is defined by 47 U.S.C. § 153(39).

FACTUAL BACKGROUND

14. Seeking respite from the relentless intrusion of unwanted telemarketing calls, Plaintiff diligently registered his cellular telephone number, 210-744-XXXX ("Plaintiff's Number"), with the National Do Not Call Registry over twelve years prior to the events leading to this action. This registration served as a clear and unequivocal expression of Plaintiff's desire to be free from the nuisance and invasion of privacy caused by unsolicited telemarketing calls.

15. Plaintiff is, and has been at all times relevant to this action, the regular and sole user of Plaintiff's Number.

16. Plaintiff uses his cellular phone primarily for personal, residential, and household purposes.

17. Plaintiff's Number is designated for residential use, as it is assigned to a consumer telephone exchange service and is not assigned to a telephone exchange service designated for business use.

18. Plaintiff has never granted express consent to FundQube, or any entity affiliated with them, to receive telemarketing calls.

19. Beginning on or around June 20, 2024, Plaintiff received multiple telemarketing calls from FundQube to Plaintiff's Number.

20. On June 20, 2024, at approximately 11:28 AM CT, Plaintiff answered a call from FundQube originating from phone number 214-256-4541 in which FundQube attempted to sell Plaintiff a business loan.

21. At or around 11:49 AM CT that same day, Defendant sent an email from richard@fundqube.com. The email, sent under the name "Richard William," referred to the prior call and attempted to collect financial data from Plaintiff for purposes of generating a business loan offer.

22. On or around 2:09 PM on June 20, 2024, Plaintiff responded to the email informing Defendant, in writing, that Plaintiff was not interested in Defendant's product or services and demanded that Defendant not contact him again. Specifically, Plaintiff emailed, "Hi, I am not interested. Do not contact me."

23. Despite Plaintiff's express instructions to Defendant to cease and desist from further communications, Defendant continued to call Plaintiff's Number. Said calls originated from various phone numbers, all of which used NUSO, LLC as the carrier.

24. Below is a non-exhaustive list demonstrating the persistent and unlawful calls Defendant placed, or caused to be placed, to Plaintiff's phone:

June 21, 2024, 3:10 PM CT	(779) 359-1618
June 21, 2024, 4:00 PM CT	(725) 291-1410
June 24, 2024, 9:21 AM CT	(314) 557-1961
June 24, 2024, 2:55 PM CT	(470) 220-9406
June 25, 2024, 11:09 AM CT	(864) 669-1772
June 25, 2024, 2:51 PM CT	(202) 771-5584
June 25, 2024, 4:57 PM CT	(256) 993-1110
June 26, 2024, 10:11 AM CT	(702) 978-6970
June 27, 2024, 12:43 PM CT	(737) 281-0689

25. The unauthorized solicitation telephone calls that Plaintiff received from Defendant have harmed Plaintiff in the form of annoyance, nuisance, and invasion of privacy, occupied his phone line, and disturbed the use and enjoyment of his phone.

26. In response to these calls, Plaintiff brings forward this case seeking injunctive relief requiring the Defendant to cease from violating the TCPA, as well as an award of statutory and actual damages and costs.

COUNT I
Violation of the Telephone Consumer Protection Act
(47 U.S.C. § 227(c)(5))

27. Plaintiff realleges and incorporates by reference the allegations in paragraphs 1 through 26.

28. The TCPA prohibits any person or entity of initiating any telephone solicitation to

a residential telephone subscriber who has registered his telephone number on the National Do-Not-Call Registry of persons who do not wish to receive telephone solicitations that is maintained by the Federal Government. 47 U.S.C. § 227(c).

29. Defendant's acts as described above were done with malicious, intentional, willful, reckless, wanton and negligent disregard for Plaintiff's rights under the law and with the purpose of harassing Plaintiff.

30. As a result of the above violations of the TCPA, Plaintiff has suffered the losses and damages as set forth above entitling Plaintiff to an award of statutory, actual and treble damages.

COUNT II
Violation of the Telephone Consumer Protection Act
Failure to Honor Do-Not-Call Request
(47 C.F.R. § 64.1200(d)(6))

31. Plaintiff realleges and incorporates by reference the allegations in Paragraphs 1 through 26.

32. The TCPA and its accompanying regulations impose an affirmative obligation upon entities engaged in telemarketing to honor consumer requests to cease such solicitations. *See* 47 C.F.R. § 64.1200(d)(6).

33. Specifically, 47 C.F.R. § 64.1200(d)(6) requires a "person or entity making calls for telemarketing purposes" to "maintain a record of a consumer's request not to receive further telemarketing calls" and honor said request "for 5 years from the time the request is made."

34. On or around June 20, 2024, Plaintiff expressly instructed FundQube, in writing, to cease all telemarketing communications, effectively creating a do-not-call request pursuant to 47 C.F.R. § 64.1200(d)(6).

35. Notwithstanding Plaintiff's clear and unequivocal request that Defendant stop calling, Defendant chose to ignore Plaintiff's instructions and proceeded to call Plaintiff's Number on multiple occasions.

36. As a result of FundQube's failure to implement and maintain procedures reasonably calculated to honor Plaintiff's do-not-call request, and/or FundQube's intentional and knowing decision to disregard said request, Plaintiff is entitled to damages in an amount to be proven at trial.

COUNT III
Violation of the Texas Business and Commercial Code
(Tex. Bus. & Com. Code § 302.101)

37. Plaintiff realleges and incorporates by reference the allegations in paragraphs 1 through 26.

38. The actions of Defendant, as described herein, constitute "telephone solicitation" as defined under Tex. Bus. & Com. Code § 302.001(7), because they were unsolicited telephone calls to Plaintiff made for the purpose of inducing Plaintiff to purchase a business loan.

39. Upon information and belief, Defendant is not registered as a telemarketer in Texas, as required by Tex. Bus. & Com. Code § 302.101.

40. §302.302(a) of the Texas Business & Commercial Code provides that a person who violates this chapter is subject to a civil penalty of no more than \$5,000 for each violation.

41. Furthermore, §302.302(d) provides that the party bringing the action is also entitled to recover all reasonable cost of prosecuting the action, including court costs and investigation costs, deposition expenses, witness fees, and attorney fees.

DEMAND FOR RELIEF

WHEREFORE, Plaintiff respectfully requests that this Court grant the following relief:

- A. Award Plaintiff all damages available under the TCPA, including statutory damages of \$500.00 for each violation of 47 U.S.C. § 227(c), or \$1,500.00 for each willful or knowing violation.
- B. Award Plaintiff all damages available under Tex. Bus. & Com. Code § 302, including statutory damages of \$5,000 per violation as provided under Tex. Bus. & Com. Code § 302.302(a).
- C. Award Plaintiff actual damages suffered as provided under 47 U.S.C. §227(c)(5)(A)) and Tex. Bus. & Com. Code § 302.302 at trial.
- D. Issue a permanent injunction prohibiting Defendant from further calls to Plaintiff in violation of the TCPA as provided under 47 U.S.C. § 227(b)(3) and (c)).
- E. All reasonable attorneys' fees, witness fees, court costs and other litigation costs incurred by Plaintiff pursuant to Tex. Bus. & Com. Code §302.302(a).
- F. Grant any other relief deemed just and equitable by the Court.

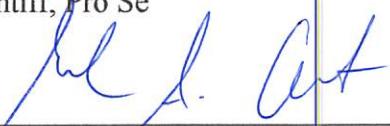
DEMAND FOR JURY TRIAL

Please take notice that Plaintiff, **Mark Anthony Ortega**, demands a jury trial in this case.

Dated: July 4, 2024

Respectfully submitted,

Mark Anthony Ortega
Plaintiff, Pro Se

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